Attorney Docket No. 033337/0125

Applicant: Zhengchen YU et al.

Title: TERMINALS HAVING SUB-BAND SUBSTITUTE SIGNAL CONTROL IN
OPTICAL COMMUNICATION SYSTEMS

Appl. No.:   09/939,784

Filing Date: August 28, 2001

Examiner: Not Yet Assigned

Art Unit: 2633

TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Sir:

This is in reply to the Notice to File Missing Parts of Application mailed on October 16, 2001 in the above-identified application. The due date to file these documents without an extension of time fee is Monday, December 17, 2001, as December 16, 2001 fell on a Sunday. Transmitted herewith are the missing parts needed to complete the filing of the subject patent application. Enclosed are:

[X]   Declaration and Power of Attorney (4 pages)

[X]   Substitute Specification with 1-1/2 line spacing

[X]   Check in the amount of __$615.00__ in payment of the $370.00 basic
filing fee, the $180.00 fee for claims in excess of 20, and the $65.00
surcharge fee (37 C.F.R. § 1.16(e))

[X]   Copy of Notice to File Missing Parts

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.
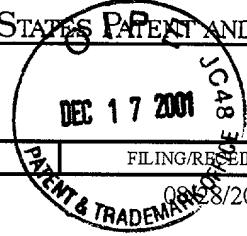
Respectfully submitted,

December 17, 2001

By ___Johnny A. Kumar___

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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,784	08/28/2001	Zhengchen Yu	033337-0125

CONFIRMATION NO. 2840

FORMALITIES LETTER

OC000000006913368

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Date Mailed: 10/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is $180.
  - $180 for 20 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of $65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 600.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:

  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

*A copy of this notice **MUST** be returned with the reply.*

D. Washington

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE